

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER  
6097P057

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/521614**

INTERNATIONAL APPLICATION NO.  
PCT/EP2003/007776

INTERNATIONAL FILING DATE  
17 July 2003

PRIORITY DATE CLAIMED  
7/17/2002

**TITLE OF INVENTION**

METHOD FOR OPERATING A WIND POWER PLANT AND METHOD FOR OPERATING IT

**APPLICANT(S) FOR DO/EO/US**

Andreas BÜCKER, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items(5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 1~~er~~ 2 and 35 U.S.C. 1.821 - 1.825.
18. ☒ A second copy of the published international application under 35 U.S.C. 154(d)(4)).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)).
20. ☒ Other items or information.

Return Receipt Postcard

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) **10/521614** INTERNATIONAL APPLICATION NO. PCT/EP2003/007776 ATTORNEY'S DOCKET NUMBER 6097P057

## 21. The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5):**

	Applicant Use	Office use only
<input checked="" type="checkbox"/> a) Basic national fee .....\$300.00	\$ 300.00	
<input checked="" type="checkbox"/> b) Examination fee .....\$200.00	\$ 200.00	
<input checked="" type="checkbox"/> c) Search fee .....\$500.00	\$ 500.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b> \$1000.00	\$ 1000.00	

Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	-100 / 50 =		x	\$	

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	10- 20 =	0	x 50	\$ 0.00	
Independent claims	1 - 3 =	0	x 200	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 360	\$ 360.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1360.00	

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

**SUBTOTAL =** \$ 1360.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE =** \$ 1360.00

Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

**TOTAL FEES ENCLOSED =** \$ 1360.00

Amount to be refunded: \$  
charged: \$

- a. ☒ A check in the amount of \$ 1360.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 02-2666 in the amount of \$ 1360.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2666 A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b) must be filed and granted to restore the application to pending status.

January 14, 2005  
DATE

SIGNATURE

SEND ALL CORRESPONDENCE TO  
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Michael J. Mallie  
NAME

36,591  
REGISTRATION NUMBER